

Attorney Docket No.: 085027-0036

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Customer Number: 89518
 Mou-Shiung Lin : Confirmation Number: 1077
 Application No.: 09/729,152 : Group Art Unit: 20 EC:1599 1660.00 DA
 Patent No.: 6,303,996 : Issued: October 16, 2001
 For: HIGH PERFORMANCE SUB-SYSTEM DESIGN AND ASSEMBLY

NOTICE OF STATUS CHANGE AND
COMMUNICATION UNDER 37 C.F.R. § 1.28(c) & (d)

Director of U.S. Patent and Trademark Office
 Attn: Maintenance Fees
 2051 Jamieson Avenue, Suite 300
 Alexandria, VA 22314

Sir:

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that the correspondence is being transmitted via facsimile to the United States Patent and Trademark Office at facsimile number (571) 273-6500 on October 18, 2012.



Michael J. Lujan

Applicant previously paid certain fee(s) listed on attached Appendix A as a small entity, but such fee(s) should have been paid as a large entity. Pursuant to 37 C.F.R. § 1.28(c), Applicant submits payment of any deficiency between the amount of each fee paid as a small entity and the amount that is now due as a large entity. Pursuant to the requirements of 37 C.F.R. § 1.28(c)(1) and (2), the attached Appendix A shows the calculation of the deficiency owed and itemization of the deficiency payment for this application or patent.

The Commissioner is authorized to charge Deposit Account No. 502624 in the amount of \$1660.00 the total deficiency payment owed as calculated on attached Appendix A. The Commissioner is hereby authorized to charge payment of any other fee deficiency or surcharge associated with this communication or credit any overpayment to Deposit Account No. 502624.

Applicant submits that the status as a small entity was previously established in good faith, and fees as a small entity were paid in good faith, in this application or patent, and it was later discovered that such status as a small entity was established in error, or that through error

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the United States Patent and Trademark Office was not notified of a loss of entitlement to small entity status as required by 35 C.F.R. § 1.27(g)(2). Pursuant to 37 C.F.R. § 1.28(e), Applicant requests the error be excused in this application or patent.

Pursuant to 37 C.F.R. § 1.28(d), the payment of deficiency operates as notification of loss of small entity status. Applicant requests that any deficiency payment (based on a previous erroneous payment of a small entity fee) submitted under 37 C.F.R. § 1.28(e) be treated under 35 C.F.R. § 1.27(g)(2) as a notification of a loss of entitlement to small entity status.

Applicant's undersigned attorney may be contacted at the address and telephone number set forth below.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

/Dennis A. Duchene/
Dennis A. Duchene
Registration No. 40,595

4 Park Plaza, Suite 1700
Irvine, California 92614
Phone: 949-851-0633
Facsimile: 949-851-9348
Date: October 18, 2012

**Please recognize our Customer No. 89518
as our correspondence address.**

Application No. 09/729,152

APPENDIX A

Date Fee Paid	Type of Fee	Fee Paid	Current Large Entity Fee	Deficiency Owed Amount
January 29, 2009	Maintenance Fee (7.5 years)	\$1,240.00	\$2,900.00	\$1,660.00
Total deficiency payment owed				\$1,660.00

PTO/SB/47 (03-09)

Approved for use through: 03/31/2012 OMB 0651-0016
U.S. Patent and Trademark Office, U S DEPARTMENT OF COMMERCE

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"FEE ADDRESS" INDICATION FORM

Address to:
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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Fax to:
571-273-6500

- OR -

INSTRUCTIONS: The issue fee must have been paid for application(s) listed on this form. In addition, only an address represented by a Customer Number can be established as the fee address for maintenance fee purposes (hereafter, fee address). A fee address should be established when correspondence related to maintenance fees should be mailed to a different address than the correspondence address for the application. **When to check the first box below:** If you have a Customer Number to represent the fee address. **When to check the second box below:** If you have no Customer Number representing the desired fee address, in which case a completed Request for Customer Number (PTO/SB/125) must be attached to this form. For more information on Customer Numbers, see the Manual of Patent Examining Procedure (MPEP) § 403.

For the following listed application(s), please recognize as the "Fee Address" under the provisions of 37 CFR 1.363 the address associated with:

Customer Number:

23696

OR

The attached Request for Customer Number (PTO/SB/125) form.

PATENT NUMBER (if known)	APPLICATION NUMBER
6,303,996	09/729,152

Completed by (check one)

Applicant/Inventor

/Dennis A. Duchene/

Signature

Attorney or Agent of record 40,595

(Reg. No.)

Dennis A. Duchene

Typed or printed name

Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed.
(Form PTO/SB/96)

949-851-0633

Requester's telephone number

Assignee recorded at Reel _____ Frame _____

October 18, 2012

Date

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required; see below.

Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.363. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 5 minutes to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND COMPLETE D FORMS TO THIS ADDRESS. SEND TO: Mail Stop M Correspondence, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Electronic Acknowledgement Receipt

EFS ID:	140233/2
Application Number:	09729152
International Application Number:	
Confirmation Number:	3077
Title of Invention: High performance sub-system design and assembly	
First Named Inventor/Applicant Name:	Mou-Shiung Lin
Customer Number:	28112
Filer:	Dennis Alan Duchene/Melissa Lusian
Filer Authorized By:	Dennis Alan Duchene
Attorney Docket Number:	MSLIN98-004B
Receipt Date:	18-OCT-2012
Filing Date:	04 DEC. 2000
Time Stamp:	19:41:27
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)
1	Transmittal Letter	08502/0036 Transmittal.pdf	66884 MD5:2C9A9A9A9A9A9A9A9A9A9A9A9A9A9A9A SHA1:2C9A9A9A9A9A9A9A9A9A9A9A9A9A9A9A	no	1

Warnings:

Information:

2	Assignee showing of ownership per 37 CFR 3.73.	085027-0036StatementUnder373b.pdf	30874	no	1
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Warnings:**Information:**

3	Power of Attorney	085027-0036POA.pdf	84358	no	1
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Total Files Size (in bytes):	182116
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.